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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/620,412

07/17/2003

Takuro Nishimura

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EXAMINER

POPOVICS, ROBERT J

ART UNIT

PAPER NUMBER

1724

DATE MAILED: 10/17/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/620,412	Applicant(s) NISHIMURA ET AL.	
	Examiner Robert J. Popovics	Art Unit 1724	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 7/27/05 (Election).
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-32 is/are pending in the application.
- 4a) Of the above claim(s) 31 and 32 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

Applicants' election *without* traverse of Group I in the reply filed on **July 27, 2005** is acknowledged. Applicants are **requested to cancel the non-elected claims** in their next response.

### ***Information Disclosure Statement***

It is noted that no Information Disclosure Statements have been submitted. Applicants are requested to verify this in their next response. Applicants are reminded of their duty of disclosure, as set forth in 37 CFR 1.56. Applicants are requested to submit an IDS identifying the prior art discussed in the specification.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-30 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. It is unclear what manipulative steps Applicants regard as their invention. Applicants are urged to re-draft the claims in the format set forth at 37 CFR 1.75(i), in order to clarify what specific manipulative steps Applicants regard as their invention.

Applicants are reminded of the format of claims. A claim has three parts: *“the preamble,” “the transitional phrase”* and *“the body of the claim.”* The transitional phrase (i.e., “comprising,” “consisting of,” “consisting essentially of,” etc., lets the reader know if the claim is “open” or “closed.” The apparent absence of a transitional phrase in claim 1 renders the claim vague and indefinite, because it is not known if the claim is intended to be “open” or “closed.” It is unclear where Applicants’ preamble ends, and the body of the claim begins.

Claims 1-30 are rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential steps, such omission amounting to a gap between the steps. See MPEP § 2172.01. The omitted steps are: a positive step of *"forming."*

*Claim Rejections - 35 USC § 103*

Claims 1-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combined teachings of *AAPA* (Applicants' Admitted Prior Art) and *The Handbook of Separation Techniques for Chemical Engineers 2<sup>nd</sup> Edition (1988)*.

AAPA teaches:

[0003] 2. Description of the Related Art

[0004] In a process for producing a cellulose acylate film, a cellulose ester flake is first dispersed in a solvent and the mixture is stirred, preparing a cellulose acylate solution. Next, the cellulose acylate solution is subjected to filtration to remove foreign matters, thereby eliminating the possibility of defects in the film after film-formation. The filtered cellulose acylate solution is then formed to a film by co-casting or the like and the film is dried, thereby producing a cellulose acylate film.

[0005] The above-described filtration of the cellulose acylate solution is carried out for the purpose of removing foreign matters in a dope such as undissolved matters and insoluble matters, thereby preventing the occurrence of defects in the formed film. As a filtering material for the filtration, filter paper, filter cloth, sintered metal or the like is used. In any filtering material, pores of the filtering material may be plugged with time, causing a sudden increase of pressure difference in the later half of the filtration. Thus, it is necessary to periodically pass a cleaning solution through the filtering material to clean the filtering material to regenerate it.

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[0006] Filtering materials having an absolute filtration accuracy of approximately 0.01 mm have been used in the current filtration. The filtration accuracy required is expected to be higher in future. In particular, for the cellulose acylate film for a liquid crystal display of recent years, high quality is required compared with the cellulose acylate film for photography, so that the absolute filtration accuracy needs to be improved.

[0007] However, a reduction of the pore size of a filtering material for the purpose of improving the absolute filtration accuracy will soon make the filtering material plugged, extremely reducing the life of filtration. In addition, the process for producing the cellulose acylate film has become faster in recent years, causing a reduction of time until occurrence of filtration plugging. The reduction of the time to the plugging of the filtration material increases cleaning frequency, thereby increasing the load for operators.

[0008] Furthermore, when the pore size of a filtering material is reduced, there will be such problems that high filtration pressure is necessary and it takes a long time in filtration, thereby reducing productivity.

*AAPA does not appear to mention the use of filter aids. The Handbook of Separation Techniques for Chemical Engineers 2<sup>nd</sup> Edition (1988) teaches the use of filter aids to lengthen the filtration cycle. Among the well known filter aids disclosed by The Handbook are silica, perlite and wood pulp (pg 4-12). In view of this disclosure, it would have been readily apparent to one skilled in the art to employ these well known filter aids in the system disclosed by AAPA in order to lengthen the filtration cycle, thereby enhancing the economic efficiency of the process.*

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The dependent claims specify various percentages, particle size ranges, standard deviations, densities, thicknesses, terminal velocities, etc. These parameters are not seen to patentably distinguish the instant claimed invention over the references as applied above. Presumably, Applicants' obtain the filter aids used from commercially available sources. It is submitted that those parameters specified with respect the physical properties of the filter aids would be met by the commercially supplied filter aids. The other parameters are submitted to be met by virtue of inherency, or alternatively, that they constitute parameters that would have been routinely optimized by those skilled in the art.

Any inquiry concerning this communication should be directed to Robert J. Popovics at telephone number (571) 272-1164.

A large, stylized handwritten signature in black ink, likely belonging to Robert James Popovics, positioned above the printed name.

**Robert James Popovics**  
**Primary Examiner**  
**Art Unit 1724**

October 13, 2005